

The background of the slide features a close-up, high-contrast photograph of an abacus. The dark, polished wooden beads are arranged in rows, with some beads in sharp focus in the foreground and others blurred in the background, creating a sense of depth. The lighting highlights the texture and sheen of the wood.

**DPNC Global LLP**

**Indo-UAE Business Desk**

**Legal & Regulatory Services**

<b>DPNC Global</b>	<b>3-17</b>
<b>Key Services</b>	
• Legal & Regulatory Services	

A person wearing a white shirt is seated at a desk. Their left hand is holding a stack of papers, and their right hand is using a calculator. The desk is covered with various documents and a laptop keyboard is visible in the foreground. The background is softly blurred, showing an office environment.

**Legal & Regulatory Services  
Inbound UAE→ India**

# Legal & Regulatory Services Overview

Our deep understanding & expertise allows DPNC to offer comprehensive and practical solution to specific issues in domestic & cross border transactions

Corporate Advisory

India ↔ UAE Market  
Entry & Entity Structuring

Wealth & Family Office  
Planning

Transaction Advisory  
and Support

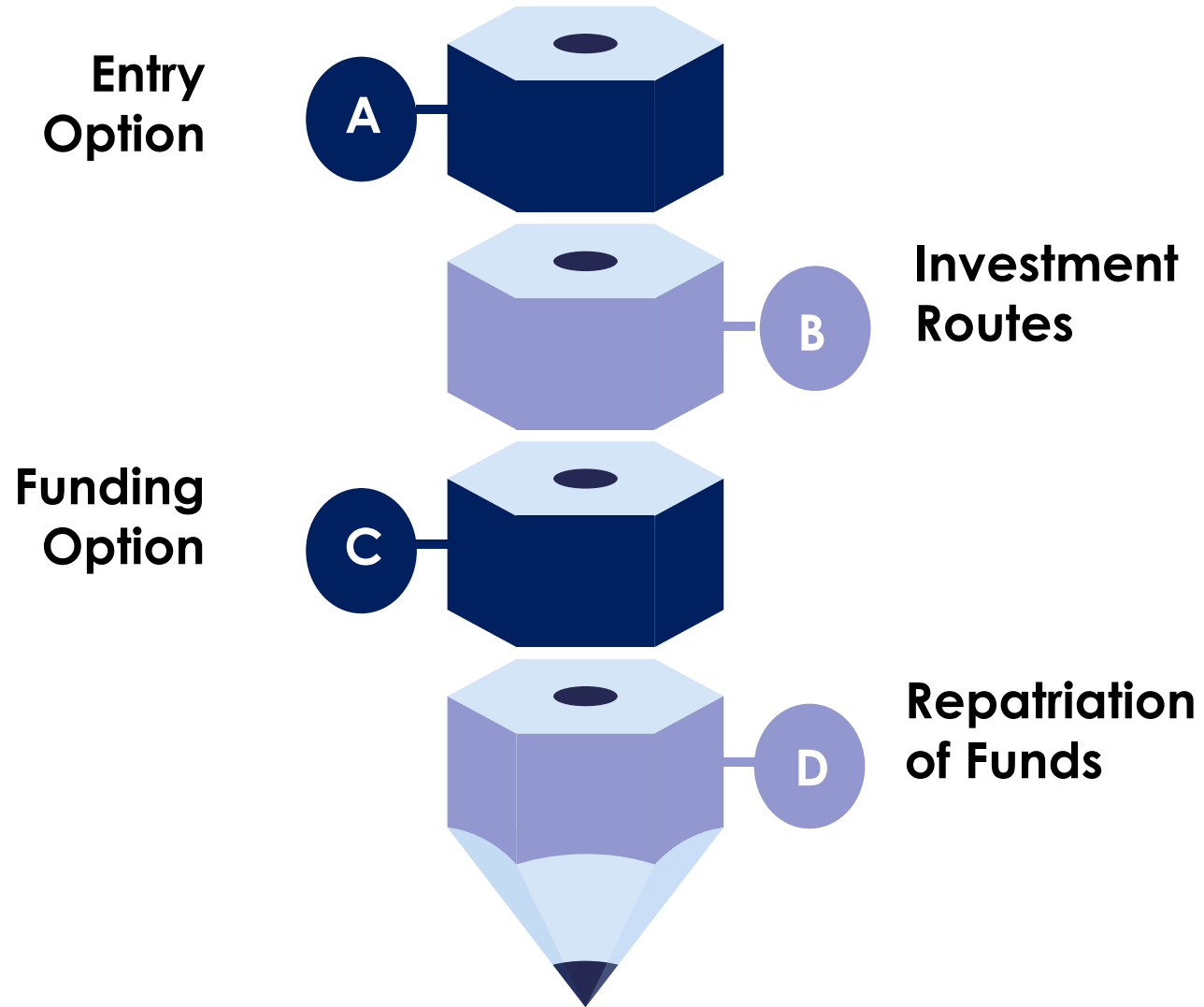
Approval and  
registration

GIFT City / IFSC  
Advisory

NBFC & Financial  
Services Advisory

Corporate & Company  
Law Compliance

## Overview: India Entry | (UAE → India)



## Regulatory Services | Market Entry & Entity Structuring (UAE → India)

### ***Inbound:***

UAE-based investors setting up Indian entities (Company, LLP, Branch office, Liaison office, Project office) GIFT City etc.) Some enlisted as follows:

- ✓ Entity Incorporation
- ✓ Transaction Structuring & Regulatory Approvals (FEMA, Corporate Law)
- ✓ Private & Retail Banking Facilitation
- ✓ FEMA-Compliant Valuation Support



### **We provide End-To-End Solutions for Regulatory Services**

- ✓ Strategic Structure Planning & Validation
- ✓ Validating, Planning and Implementing the Entity Structure
- ✓ Setup in UAE with Structuring & Banking Support
- ✓ Setting up entity in Gift City / SEZ / IFSC entity in India
- ✓ Ongoing Compliance under FEMA, Companies Act, IFSC Act
- ✓ Regulatory Approvals under FDI, RBI

## Regulatory Services | NBFC (UAE → India)

- ✓ Registration of NBFCs under prevalent Reserve Bank of India (RBI) Law
- ✓ Assistant to UAE based investors or companies to set up or invest in NBFCs in India
- ✓ Assistance to Indian NBFCs exploring ECB funding or structuring with UAE partners
- ✓ **Cross-border control/ownership changes** needing RBI approvals
- ✓ Advice on Core Investment Company (**CIC**) structuring incl. involving Indian subsidiaries or joint ventures



### We provide End-To-End Solutions for Regulatory Services

- ✓ Obtaining regulatory clearances from Indian authorities (e.g., RBI) for inbound control or equity investments in UAE entities
- ✓ Compliance with FEMA when foreign investment is involved in NBFCs
- ✓ Advise / opinions on various matters pertaining to NBFCs under current regulatory norms

# Regulatory Services | Restructuring & Specialized Support (UAE→ India)

Tailored solutions as per client's facts and requirements:



## Capital & Management Restructuring

- ✓ Valuation support for restructuring
- ✓ Mergers, demergers & business transfers
- ✓ Corporate reconstruction for M&A
- ✓ NCLT process advisory & support
- ✓ Reduction of Capital/ Buy Back etc.
- ✓ IBC & restructuring advisory
- ✓ Support for filling and assistance in NCLT
- ✓ Compliances Post NCLT approval
- ✓ Approvals from sectoral regulators / ministries for FDI under approval route



## SEBI & Capital Markets Advisory

- ✓ Takeover structuring under SEBI Takeover Code
- ✓ Delisting advisory under SEBI (2009) Reg. for full exits
- ✓ Advisory on buybacks, delisting & dematerialization
- ✓ Structuring FPI/FVCI investments from UAE
- ✓ SEBI LODR compliance for listed Indian entities
- ✓ Stock exchange approvals (M&A / Restructuring)



### **Wealth and Family Office Planning Services**

- ✓ investment structuring, & multi-family office services.
- ✓ Regulatory compliant governance and transparency models

### **Advisory in relation to Company Law matters under Companies Act 2013**

- ✓ Setting up of trusts, societies, Section 8 companies (Not for profit companies)
- ✓ Dissolution, Winding up of Companies, reconstructions including merger, amalgamation and demerger process



### **We provide End-To-End Solutions for Regulatory Services**

- ✓ Analysis & assistance in Trade realization/settlement
- ✓ FEMA / FDI Compliant Valuations
- ✓ Advisory services for Inbound/Downstream investments, ECB
- ✓ Foreign contribution and donation etc.
- ✓ CSR, ESG, POSH compliances
- ✓ Constitution of Board & Committees and Framing policies

# Business Restructuring Services | (UAE → India)



## IBC & Strategic Debt Advisory

- ✓ IBC advisory for UAE investors in distressed Indian assets
- ✓ SDR structuring with Indian banks/NBFCs
- ✓ AIF setup in India for UAE-based sponsors & family offices



## Estate & Succession Planning

- ✓ Identification of suitable structures: Trust / Foundation / HUF (India & UAE)
- ✓ Inheritance & Will Planning
- ✓ Cross-border will harmonization (India-UAE)



## Multi- Family Office & Structuring

- ✓ Support for outbound investments in movable/immovable assets
- ✓ UAE entity structuring including SPVs
- ✓ Transparency-focused oversight for India ↔ UAE structures

# Succession Planning | (UAE → India)

We advise our clients including UHNIs and Large FO providing customized solutions for succession planning



## REORGANIZING

holding structure to **facilitate effective succession planning**



## WILLS

Assistance in drafting and registration of Wills in and outside India



## FAMILY TRUST

- ✓ Drafting of Trust Deed
- ✓ Advisory on international estate planning



## FAMILY CHARTER

- ✓ Assistance in planning and documenting of family charter
- ✓ Advisory on Tax, Regulatory & related statutory aspects



## FAMILY OFFICE

Comprehensive tax and regulatory advisory for the family, including international tax advisory



## HUF (Hindu Undivided Family)

Assistance in tax and regulatory advisory and compliances

# Cross-Border Transactions | (UAE → India)

We have deep understanding of cross border transactions and some indicative services that we provide are captured below:



**Tax efficient structuring** of the transactions tailored to business needs



**Holistic Transaction support** including FEMA, Income tax, Companies Act, etc.



Advice on **Acquisition of Indian Assets** (including reporting and compliance)



**Entity Option** Analysis from regulatory perspective



**Regulatory advice** for domestic and international matters



Regulatory efficient advisory for **extracting company funds /profits**, guidance for resident /non-resident shareholders

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**Legal & Regulatory Services  
Outbound India → UAE**

## Regulatory Services | Market Entry & Entity Structuring (India → UAE)

**Outbound:** Indian businesses establishing presence in UAE (company formation, approvals, structure planning)

- ✓ **Market Entry and Entity Structuring**
- ✓ **Outbound Investment Structuring**
  - ✓ Advisory on structuring investments from India to UAE, including RBI's approval for ODI and OPI.
- ✓ **Entity Setup in UAE:** Assistance in establishing entities across UAE regions.
- ✓ **Regulatory Compliance:** Ensure compliance with Indian laws (FEMA) and UAE regulations for corporate entities.



### We provide End-To-End Solutions for Regulatory Services

- ✓ **Industry-Specific Structuring Advisory:** Tailored advice for Indian businesses on industry-specific structuring in the UAE, including tax incentives, free zone setups, and sector-specific regulatory requirements (e.g., fintech, healthcare).
- ✓ **Banking Support:** Guidance for corporate and individual banking needs in UAE, including NRI accounts.
- ✓ **Valuation & Compliance:** Support for valuations as per FEMA and validation of structures.

## Regulatory Services | Wealth & Family Office Planning (India → UAE)

**Outbound:** Indian businesses establishing presence in UAE (company formation, approvals, structure planning)

- ✓ **Multi-Family Office Support:** Structuring investments in UAE, UAE real estate, portfolio diversification, risk management, and strategic guidance.
- ✓ **Asset Allocation & Planning:** Assist in setting up asset-holding structures like SPVs, ensuring transparency, and maintaining accountability.
  - ✓ **Income Generation Strategies:** Customized strategies to ensure sustainable financial planning and long-term wealth growth.



### We provide End-To-End Solutions for Regulatory Services

- ✓ **Banking Support:** Guidance for corporate and individual banking needs in UAE, including NRI accounts.
- ✓ **Valuation & Compliance:** Support for valuations as per FEMA and validation of structures.
- ✓ **Estate and Legacy Planning:** Structuring long-term wealth transfer strategies, including setting up family trusts and foundations, to ensure smooth transition of assets across generations while minimizing tax liabilities and adhering to both Indian and UAE legal frameworks.

**Outbound:** Indian businesses establishing presence in UAE (company formation, approvals, structure planning)

- ✓ **Outbound Capital Structuring:** Structuring and advising on outbound investments, fund formation in UAE.
- ✓ **M&A Advisory:** Guidance on acquisitions, takeovers, delisting, and share buybacks in UAE.



### We provide End-To-End Solutions for Regulatory Services

- ✓ **Private Equity & Venture Capital Fund Structuring:** focusing on the regulatory framework, tax efficiency, and compliance with both Indian and UAE laws for investment funds.
- ✓ **RBI & FEMA Advisory:** Assistance with compliance under RBI and FEMA for outbound investments.
- ✓ **Regulatory Approval Coordination:** Facilitate obtaining necessary approvals from Indian and UAE regulatory bodies for cross-border investments.



**Outbound:** Indian businesses establishing presence in UAE (company formation, approvals, structure planning)

- ✓ **Investment Advisory from GIFT City:** Guidance on outbound investments from GIFT City to UAE, ensuring regulatory compliance.
- ✓ **FEMA & IFSC Act Compliance:** Advisory on compliance with FEMA and regulations of IFSC.
- ✓ **Regulatory Approvals:** Assistance in obtaining necessary regulatory approvals for GIFT City investments.
- ✓ **Outbound Investment Structuring for NBFCs:** Structuring investments from Indian NBFCs into UAE entities in line with RBI's guidelines.



### We provide End-To-End Solutions for Regulatory Services

- ✓ **External Commercial Borrowings (ECB):** Advisory on ECBs from UAE-based financial institutions.
- ✓ **CIC Structuring:** Support for Core Investment Companies (CICs) with UAE subsidiaries or joint ventures
- ✓ **Cross-border Mergers and Acquisitions:** Structuring and ensuring compliance for cross-border M&A and restructuring.
- ✓ **SEBI & LODR Compliance:** Assisting with LODR compliance for Indian-listed companies with UAE investments.
- ✓ **Succession Planning for UAE Assets:** Structuring wills and trusts for Indian families holding assets in UAE.
- ✓ **Cross-border Inheritance Planning:** Guidance on UAE inheritance, asset liquidation, and repatriation to India.

# Contact Us

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